

Franz Schubert (1797–1828)

The restoration of Schubert's symphonic works

By Brian Newbould, Professor of Music, University of Hull

The performing versions by Brian Newbould of the six unfinished works are conceived with the aim of completing each work as Schubert himself might have done had he returned to his sketches. In the notes which follow Professor Newbould traces Schubert's progress as a symphonist from his schooldays till his death at the age of 31.

Schubert's symphonic career spanned a mere 18 years, during which time he set about writing a symphony at least 13 times. His endeavours occupied him, intermittently, from 1811 when he was a lad of 14 to the last weeks of his life in 1828. Yet of the 13 attempts, only seven yielded complete, finished symphonies: for whatever reason, six symphonies were begun but left unfinished. Those he did finish are now, for the most part, well-loved repertory pieces which offer scarcely any clue as to why the others should have been abandoned. It is only in the last quarter of the twentieth century that all the fragmentary works have been identified, transcribed, and made ready for performance.

In 1808 the 11-year-old Schubert entered the choir of the Imperial *Kapelle*, and was enrolled at the school attached, which was part of the Vienna *Stadtkonvikt*. Pupils of the school formed an orchestra which assembled every night after supper to play an overture or two and a symphony. As a second violinist, becoming a first violinist probably within two years, Schubert played a repertory mainly of Haydn, Mozart, and early Beethoven. His friend Josef von Spaun, a senior chorister and member of the orchestra, later recalled that Schubert had already composed symphonies by 1812, but destroyed them saying that they were only preliminary studies. Certainly in the years 1811–13 he composed no fewer than five overtures, probably for this school orchestra. Of the symphonies, one fragment survives from the year 1811, a slow introduction and the opening of an *Allegro* amounting to 30 bars in all (listed as D. 2B in the Deutsch catalogue of Schubert's works). It is significant that one of the boy violinist's favourite works, according to Spaun, was Beethoven's Symphony No. 2 in D, for this 1811 fragment – which is in full score – owes an unmistakable debt to that model. Moreover, of the 13 symphonies Schubert was to commence, six were in D, as were all five overtures of 1811–13. Beethoven had evidently shown the beginner what an effective key D major was for orchestral composition, and the lesson stayed with him.

The first complete symphony, in D major, dates from October 1813 and initiates a group of six which span the years up to 1818. These are symphonies rooted in eighteenth-century practice, clearly pre-“Eroica” in scale, proportion, and sonority. Naturally the boyhood experience of playing so much Haydn and Mozart had lent the young composer an initial stylistic focus, yet each of these prentice works has Schubert's individuality stamped on it, and it is surprising that the idiosyncratic musical manners of Beethoven are not more in evidence than they are. Dvořák said of these symphonies, “... the more I study them, the more I marvel”. Even in Dvořák's day, one had to study them more in score than one could in performance, and it is uncertain whether Schubert himself heard many of them played at all. No. 1 was played by the school orchestra; No. 2 was dedicated to the school's headmaster, and Schubert's friend Josef Doppler later gave a set of manuscript instrumental parts to the Gesellschaft der Musikfreunde in Vienna. As to performances of Nos. 3 and 4, there are no clues. Sonnleithner, another friend of the composer, tells us that Nos. 5 and 6 were both composed for Otto Hatwig's “music salons” – semi-public concerts by an orchestra in which Schubert played the viola. Manuscript parts of both works were subsequently acquired by the Gesellschaft der Musikfreunde.

After the Sixth Symphony in 1818 there came a period of crisis. The ultimate aim was to produce a “grosse Symphonie”. Before that could be achieved, in 1825–26 with the “Great” C major, the quest for a new symphonic voice entailed four abortive attempts. The fourth of these apparently foundered in the course of its third movement, though the first two movements were complete down to the last detail and have a special place in the repertory and in our affections as the “Unfinished” Symphony in B minor. Here, in 1822, a new voice was decidedly attained, though that voice was to say such different things three years later in the C major Symphony as to sound almost like a new voice again.

By tradition, the “Great” C major Symphony has been assigned to the last year of Schubert’s life, 1828, while the symphony which Schubert is known to have worked on while on holiday at Gmunden and Gastein in 1825 has been presumed lost. Modern scholarship has now re-assigned the “Great” to 1825: it is the “Gastein” Symphony. At the same time it has come to light that this was not the last symphony undertaken by Schubert. On or on the way to his death-bed in 1828 he began a further symphony which shows him poised to cross yet new stylistic frontiers. But death intervened, leaving the sketch in a far from definitive state.

Symphony No. 1 in D, D. 82

1. *Adagio – Allegro vivace*
2. *Andante*
3. *Allegro*
4. *Allegro vivace*

Listening to the fluent and resourceful First Symphony one can easily believe that its youthful composer had already had some practice in symphonic writing. The full Classical orchestra, though with only one flute, is used confidently and colourfully. The outer movements run their course somewhat breathlessly and with a touch of forgivable boyish garrulity. There is no doubting Schubert’s ability to sustain a mood of exuberance. In fact he tends to employ the wind players more continuously here than in the later symphonies, and never again did he work his trumpeters at such an altitude.

The *Adagio* introduction, a feature of most of Schubert’s symphonies, is here no mere preface, to be forgotten when the *Allegro* gets under way. It returns in the middle of the movement, but in the prevailing *Allegro* tempo, to herald the recapitulation. The following *Andante* reminds us that Schubert was moved by Haydn’s slow movements, as Spaun tells us, though the sentiments owe something to Mozart too. The minuet is still more obviously Haydnesque, but the *joie de vivre* of the finale is prophetically Schubertian. Curiously, the finale’s second subject echoes that of the first movement, which in turn pays homage to Beethoven’s “Prometheus”.

Symphony No. 2 in B flat, D. 125

1. *Largo – Allegro vivace*
2. *Andante*
3. *Allegro vivace*
4. *Presto*

Schubert discovers a new sort of energy in the outer movements of his Second Symphony. It is not simply a matter of short notes and fast tempos, of which, after all, the previous symphony had its share. Brilliance now gives way to “bite”. Well-placed accents contribute, and Schubert becomes increasingly aware of the power of dissonance, both as an expressive resource and as an energising force. There is a new trenchancy in the scoring of *tutti* passages, with massed violins often set against

incisive counter-rhythms in the wind choir. The seeds of the tensions implicit in the Fourth Symphony are perhaps sown here.

The introduction is short, the following *Allegro* long – its climaxes having a power befitting the scale of the design. After this, a set of variations (the only instance in all the symphonies) seems to evoke a bygone Classical serenity, until the fourth variation plunges into the relative minor key, audibly anticipating the dark and weighty minor-key thrust of the minuet. The finale boasts Schubert’s most engaging symphonic tunes so far, and its central development is remarkably rich when one considers that its sole topic is the first theme’s initial dactylic rhythm.

Symphony No. 3 in D, D. 200

1. *Adagio maestoso – Allegro con brio*
2. *Allegretto*
3. *Menuetto (Vivace)*
4. *Presto. Vivace*

These early symphonies give little indication of the problems which symphonic writing was to present to Schubert later on: least of all does the Third. It is the shortest symphony so far, was composed in large part within eight days, and trips along with a grace and spontaneity all its own. There is, even so, a subtlety in the relationship between the slow introduction and the first movement proper. While the *Allegro con brio* begins with a theme which looks forward 10 years to the “Great” C major rather than backward to the introduction, its *fortissimo* sequel (which recurs later and is the only *fortissimo* idea in the whole movement) emphatically restates a figure which evolved in the introduction – a swift scale-ascent extended by repeated notes.

None of Schubert’s slow movements dallies less, or develops its material less, than the delicate *Allegretto*. It is daringly succinct, and that is its charm. The minuet adopts a peculiarly sonorous manner, with resolute upbeats. In contrast, the racy finale begins with its feet scarcely touching the ground. But the miracle of this 6/8 *tour de force*, as gradually becomes clear, is that it so deftly combines speed with elegance, lightness with solidity.

Symphony No. 4 in C minor, D. 417 “Tragic”

1. *Adagio molto – Allegro vivace*
2. *Andante*
3. *Menuetto (Allegro vivace)*
4. *Allegro*

Tragic it is not, if we have in mind Shakespeare or Goethe. But in the context of Schubert’s early orchestral, and between works as sunny as the Third and Fifth Symphonies, the Fourth certainly presents a darker face. Had our composer lived 40 years earlier, we should have called this his *Sturm und Drang* symphony. Like Haydn, Schubert chose a minor key only for symphonic utterances of a special kind, and did so infrequently.

After the sombre introduction, impassioned and often strenuous violin writing tends to dominate the following *Allegro*. But Schubert’s innate lyricism is not to be suppressed, either in the intense, songful phrases of the second subject, or in the second movement, whose main sections set off warmly harmonised consolatory melody against the turbulent outbursts of the alternating sections. Although the minuet is in a close major key, it derives tensions of its own from the tortuous chromaticism and perverse slurring of its theme. Schubert’s dependence on string tone in this work is particularly evident in the C minor finale, where the violins seldom cease from their lyrical outpourings or restless

quavers. When C major is attained at the close, it is as formal resolution rather than Beethovenian triumph.

Symphony No. 5 in B flat, D. 485

1. Allegro

2. Andante con moto

3. Menuetto (Allegro molto)

4. Allegro vivace

No Schubert symphony stands closer to its eighteenth-century forebears than the Fifth. Uniquely, it dispenses with the clarinets, trumpets, drums, and second flute, not to mention the second pair of horns enlisted for the “Tragic.” Classical restraint prevails and Schubert achieves, throughout, a winsome lightness of touch. There is, besides, a formal clarity which, hand in hand with melodic purity, seems to be reaching out towards Mozartian ideals.

Instead of an introduction we have a mere four-bar “curtain”, opening on to one of the most familiar and lovable of Schubert’s symphonic themes. This curtain felicitously reappears, in new lights, at the beginning of the development section. That Schubert was to become a master of transitions is clearly foretold in the second movement. The minuet is quite evidently affected by the memory of Mozart’s Symphony No. 40 in G minor, which the boy Schubert played and loved, as Spaun testifies. And Mozart seems to live on in Schubert’s finale, too. If Beethoven (who had already composed eight of his symphonies) had never lived, one cannot think that this Schubert finale – or the Fifth Symphony as a whole – would have been any different.

Symphony No. 6 in C, D. 589

1. Adagio – Allegro

2. Andante

3. Scherzo (Presto)

4. Allegro moderato

The Sixth Symphony, unlike the Fifth, could only have been written in the wake of Beethoven’s exploits. It is as though Schubert, having turned aside from the line of development charted by his first three symphonies to attempt an intense minor-key Fourth and an intimate, scaled-down Fifth, was temporarily unsure which way to turn next. The Sixth has something of the character of a notebook chronicling his faintly detached examination of the possibilities. And the first path he opts for is to adopt the key of Beethoven’s Fifth Symphony, well known from his school orchestra days, and its broad tonal plan (as Roger Fiske has pointed out), and to allow that composer’s early style to resonate in his mind and for the time being direct his thinking.

As the invention progresses, however, two things happen. He finds that he cannot rid his reflexes of Rossini, whose operas had recently created such a stir in Vienna: and, movement by movement, he comes to sound more like Schubert – Schubert, though, at a crossroads. Thus, although his labelling of the third movement as a “Scherzo” (for the first time in one of his symphonies) is the most overt homage to Beethoven in the whole score, the very genre seems to unlock a new side of Schubert’s own personality. And in the finale, once the pretty Rossinian tune has given way to a truer Schubertian voice, we encounter distinct pre-echoes of gestures that are to characterise the “Great” C major Symphony and other late works, several years through the looming style-barrier.

Symphony in D, D. 615

(Fragments orchestrated by Brian Newbould)

1. *Adagio – Allegro moderato*
2. *Allegretto*

The way ahead was difficult. A few months after the Sixth Symphony, Schubert attempted another symphony but evidently lost heart after sketching two fragments in piano score. The symphony begins with an imposing, searching slow introduction in D minor, but as it leads into an *Allegro moderato* the promise of new horizons is dissolved in bland retrospection.

Schubert abandons the movement at the end of its exposition, but proceeds to sketch another on the very next line of his paper. One may expect it to be a slow movement (there is no tempo marked), but it is in fact a finale. For one thing it is in D major, and Schubert would not set a slow movement in the symphony's title-key. It was destined to be a rondo or sonata-rondo, but the music breaks off tantalisingly just as the graceful opening theme makes its first return.

Symphony in D, D. 708A

(Fragments orchestrated and scherzo completed by Brian Newbould)

1. *(Allegro vivace)*
2. *(Andante con moto)*
3. *(Scherzo: Allegro vivace)*
4. *(Presto)*

Schubert's activities as an aspiring opera composer increased in the years 1819–20 and there was a two-year gap before he turned to the symphony again. This time he again abandoned the first movement having sketched in piano score the exposition only. The second movement and finale he planned to a similar extent, but the scherzo he left in a sufficiently advanced state for it to be completed by the addition of about 60 bars of recapitulation. Its trio is missing a mere half-dozen bars.

Schubert is yet to take a decisive step forwards from the style of his earlier symphonies, but he does experiment in this half-finished score. Perhaps the nature of these experiments helps to explain why he could not bring himself to finish the work. The remote key of the second subject in the first movement posed severe problems for him, while the bold excursions to distant keys in the finale would have brought him up hard against the limitations of the brass instruments of his day. One regrets particularly that the slow movement, with its expressive lyrical counterpoint, was not finished, and one values the scherzo as more than a preliminary exercise for the scherzo of the "Great" C major.

Symphony No. 7 in E minor/major, D. 729

(Realisation by Brian Newbould)

1. *Adagio – Allegro*
2. *Andante*
3. *Scherzo (Allegro)*
4. *Allegro giusto*

A few months after D. 708A, Schubert was at work on another symphony. Again he did not finish it, but the sketch of the Seventh is quite unlike any other symphonic sketch by Schubert. He evidently composed directly into orchestral score, without first drafting a piano score. In this way he completed a slow introduction and the first subject group of a first movement proper. Finding that the labour of

filling in all the instrumental parts on 14 staves of score was checking the pace of his thought, he then proceeded to write one instrumental line only, usually for the first violins or a leading woodwind instrument. Occasionally fragments of bass line or other parts would be sketched in. In this manner he continued to the very end of his four-movement symphony, writing “Fine” with a flourish at the final double-bar. Thus we have a complete symphonic structure of some 1300 bars, of which about 950 exist as a single line of music only.

The Seventh Symphony forms a fascinating link between the early and late symphonies, anticipating the “Unfinished” and the “Great” in its transitional passages rather than in its themes, which, in the outer movements at least, still bear witness to Schubert’s admiration of Rossini. It looks forward also in its inclusion of three trombones, not present in the first six symphonies. In fact, since there are four horns, not two, this is Schubert’s largest symphonic orchestra: and he exploits its sonority from the start, in an introduction which tellingly sets off the new amplitude of the *tutti* against more delicate scoring.

The themes of the *Allegro* are closely related to those of the introduction. They are long themes, spun out into large paragraphs, and indeed the movement shapes itself on a grand scale, despite the omission of the first theme from the beginning of the recapitulation. A similar elision occurs in the finale, which again gives extended treatment to ideas of some span. The *Andante* is intimate and poetic, without strong contrasts. The scherzo, however, is weighty and grandiose. In this respect it looks ahead to the scherzo of the “Great” C major, as it does also in the manner of its transition from scherzo to trio.

Symphony No. 8 in B minor, D. 759 “Unfinished”

(Completed by Brian Newbould)

1. *Allegro moderato*
2. *Andante con moto*
3. *Scherzo (Allegro) (Completed and orchestrated by: Brian Newbould)*
4. *Allegro molto moderato (from “Rosamunde”)*

Within a year of laying aside his Seventh, Schubert was sketching his Eighth Symphony in piano score. He composed and scored two complete movements, began a scherzo but probably never finished it, and left behind him no trace of a finale. It remains a mystery that he should have offered the resultant half-composed work to the Styrian Music Society at Graz in gratitude for an honorary diploma conferred on him by that society. No less puzzling is the subsequent action of Anselm Hüttenbrenner, the society member into whose hands the manuscript passed, who hoarded it for some 40 years, evidently well aware of its importance yet doing nothing to secure a performance of it. The first performance was given on December 17, 1865 under Johann Herbeck.

At the outset the cellos and basses lead us into a new symphonic world. In this first movement, drama and lyricism merge as facets of the one mode of utterance, which is Schubert’s new-found own. Hanslick, at the première, heard this whole movement as “a sweet stream of melody” – so perfectly integrated are the more dynamic elements of Classical sonata form. The same voice speaks with an extra degree of intimacy in the second movement, with its long woodwind solos unfurling above magical harmonic shifts in the strings.

For the third movement, we have an entire scherzo sketched in piano score, and a melody line for the first section of the trio. There are also two pages of Schubert’s orchestration of the scherzo. If this movement is to be completed, with the purpose of enabling the authentic material of the sketch to be

heard, then the only realistic (if audacious) solution is to compose a second half for the trio based on the first, as Schubert would have done.

The idea of playing the big B minor Entr'acte from "Rosamunde" as this symphony's finale is not new: it was done at the first London performance of the work. Given that it seems too long for its role in "Rosamunde", is in the same key as the symphony and uses the same orchestra, adopts the design of Schubert's earlier symphonic finales yet is distinctly closer in spirit to the Eighth Symphony than to anything earlier, it could just have been the original finale.

Symphony No. 9 in C, D. 944 "Great"

1. *Andante – Allegro ma non troppo*

2. *Andante con moto*

3. *Scherzo (Allegro vivace)*

4. *Allegro vivace*

Having suffered four symphonic miscarriages in a row, yet having achieved something of a stylistic breakthrough despite it all, Schubert was soon cherishing an ambition to conceive a "grosse Symphonie". After preparing himself for the task by composing the Octet and the Grand Duo, he produced his new offspring in the years 1825–26. It was to be the only symphony he completed in the last 10 years of his life; but it was not performed till 11 years after his death, when Mendelssohn conducted it at Leipzig.

The two horns in unison announce a work of spacious dimensions. In the "Great", space is implied by, among other things, multiplication. Tiny rhythmic cells proliferate in myriad repetitions, energising broad phrases which themselves multiply into huge paragraphs. To this tireless continuity is added a rhythmic drive that carries all before it: for the "Great" is as much a celebration of rhythm as Beethoven's Seventh is. Unlike the torso of the "Unfinished", a slowish symphony in triple time, it moves briskly in duple time, with a slow movement that is not very slow at all and a three-in-the-bar scherzo throughout which one may count a larger two (*one, two, three, two, two, three, one...*).

Beethoven, whose Seventh Symphony was well known to Schubert, was an inveterate formal experimenter and would surely have approved Schubert's neat way of bringing his first movement full circle. Both composers liked to integrate a first movement proper with its slow introduction. The solution here is to recall the opening theme at the very end without reverting to the introductory tempo. It forms the ideal climax, pushing, with the minimum of superfluous rhetoric, right into the last cadence. Equally the deaf possessor of acute inner hearing would have relished the decisive role in thematic development given to the three trombones, most notably in soft unison entries.

The second movement reconciles extremes of lyricism and dynamism as no other Schubert slow movement does. Symptomatically, the powerful climax reached early in the reprise, followed by the most vibrant silence in all Schubert, leads on to moments of supreme lyrical magic. Tchaikovsky tried to, but could not do this sort of thing better.

The scherzo and trio combine weight with vitality in a manner only hinted at in the scherzo of the Seventh Symphony. If that imposes a special obligation on the finale, Schubert sees it as opportunity rather than obligation. Vast, yet seemingly fuelled by a single sustained impulse, his last movement renews the symphony's unique thrust with a pulsating onward sweep which, here as before, incurs no neglect of detail in its course.

Symphony No. 10 in D, D. 936A

(Realisation by Brian Newbould)

1. *(Allegro maestoso)*

2. *Andante*

3. *Scherzo (Allegro moderato)*

In August and September 1828, Schubert was putting the finishing touches to “Schwanengesang”, the String Quintet, the last three piano sonatas, and the Mass in E flat, on many of which he had been working for some time. It was probably at the beginning of October that he undertook his symphonic “swan-song”. This was to be the sixth symphony he left unfinished. Whatever the reasons for Schubert abandoning other symphonies – perhaps he was by nature easily sidetracked, or was deterred by the difficulty of securing performances of symphonies after he had left school, or experienced compositional problems as in the scherzo of the Eighth – it may be assumed that the cause of incompleteness in the case of the Tenth Symphony was his last illness and death. He died on November 19.

All that survives is a sketch of three movements in piano score. For his third movement, Schubert set out to write a scherzo. But as work progressed the movement came to resemble more and more a finale. It is, in fact, a sort of rondo in 2/4 time, but with a 6/8 overlay. A remarkable feature is its preoccupation with counterpoint. Schubert had made little use of contrapuntal devices in his previous symphonies, yet here he employs double counterpoint, canon, augmentation, and fugato, finally combining simultaneously his two themes. Significantly, he worked some separate counterpoint exercises on the same sheets of paper as the symphony sketch. This tends to confirm the dating of the work. He decided to take a course of counterpoint lessons with Simon Sechter in his last weeks, and lived to attend only one lesson, on November 4.

The first movement, whose structure is not clearly indicated in the sketch, is evidently a sonata-form movement on a grand scale, with a lyrical second subject in the cellos which is pure Schubert. The slow movement combines the poetic vision of the Eighth Symphony with the desolation of “Winterreise” (1827): its bare textures seem to anticipate Mahler.

The “realisation” of this sketch for performance is fraught with problems – of decipherment, of orchestration (since in the work’s style so much is new and one has no helpful precedents to study), and of structure. If the world is to hear what are possibly the last notes Schubert penned, one has to face these problems and accept that any performing version must be an act of speculation.

Brian Newbould

Overtures

Franz Schubert was born in Vienna in 1797, the son of a schoolmaster, and spent the greater part of his short life in the city. He began to learn the piano at the age of five, with the help of his brother Ignaz, twelve years his senior, and three years later started to learn the violin, while serving as a chorister at Liechtental church. From there he applied, on the recommendation of Antonio Salieri, to join the Imperial Chapel, into which he was accepted in October 1808, as a chorister now allowed to study at the Akademisches Gymnasium, boarding at the Stadtkonvikt, his future education guaranteed.

During his schooldays Schubert formed friendships that he was to maintain for the rest of his life. After his voice broke in 1812, he was offered, as expected, a scholarship to enable him to continue his general education, but he chose, instead, to train as a primary school teacher, while devoting more time to music and, in particular, to composition, the art to which he was already making a prolific

contribution. In 1815 he was able to join his father as an assistant teacher, but showed no great aptitude or liking for the work. Instead he was able to continue the earlier friendships he had formed at school and make new acquaintances. His meeting in 1816 with Franz von Schober allowed him to accept an invitation to live in the latter's apartment, an arrangement that relieved him of the necessity of earning his keep in the schoolroom. In August 1817 he returned home again, when room was needed by Schober for his dying brother, and resumed his place, for the moment, in the classroom. The following summer he spent in part at Zseliz in Hungary as music tutor to the two daughters of Count Johann Karl Esterházy von Galánta, before returning to Vienna to lodge with a new friend, the poet Johann Mayrhofer, an arrangement that continued until near the end of 1820, after which Schubert spent some months living alone, now able to afford the necessary rent.

By this period of his life it seemed that Schubert was on the verge of solid success as a composer and musician. Thanks to his friends, in particular the older singer Johann Michael Vogl, a schoolfriend of Mozart's pupil Süßmayr, Leopold von Sonnleithner and others, his music was winning an audience. He lodged once again with the Schobers in 1822 and 1823 and it was at this time that his health began to deteriorate, through a venereal infection. This illness overshadowed the remaining years of his life and was the cause of his early death. It has been thought a direct consequence of the dissolute way of life into which Schober introduced him and which for a time alienated him from some of his former friends. The following years brought intermittent returns to his father's house, since 1818 in the suburb of Rossau, and a continuation of social life that often centred on his own musical accomplishments and of his intense activity as a composer. In February 1828 the first public concert of his music was given in Vienna, an enterprise that proved financially successful, and he was able to spend the summer with friends, including Schober, before moving, in September, to the suburb of Wieden to stay with his brother Ferdinand, in the hope that his health might improve. Social activities continued, suggesting that he was unaware of the imminence of his death, but at the end of October he was taken ill at dinner and in the following days his condition became worse. He died on 19th November.

During Schubert's final years publishers had started to show an interest in his work. He had fulfilled commissions for the theatre and delighted his friends with songs, piano pieces and chamber music. It was with his songs, above all, that Schubert won a lasting reputation and to this body of work that he made a contribution equally remarkable for its quality as for its quantity, with settings of poems by major and minor poets, a reflection of literary interests of the period. His gift for the invention of an apt and singable melody is reflected in much else that he wrote.

Der Teufel als Hydraulicus (The Devil as Engineer), D. 4

Der Spiegelritter (The Looking-Glass Knight), D. 11

It was natural that any young composer would have ambitions in the field of opera in a period when the theatre offered the height of success. In 1811 his friend Josef von Spaun, whom he had known when the latter lodged at the Stadtkonvikt and ran the school orchestra, took him to see Josef Weigl's Singspiel *Das Waisenhaus* (The Orphanage) and he saw the same composer's *Die Schweizerfamilie* (The Swiss Family). It was probably in December of the same year that Schubert embarked on his first composition for the theatre with August von Kotzebue's Singspiel *Der Spiegelritter, D. 11* (The Looking-Glass Knight), completing the dramatic overture and music for the first act, before abandoning the attempt. The *Overture* starts with a slow introduction, going on to a histrionic *Allegro vivace*. It was perhaps in 1812 that he wrote an *Overture* to Albrecht's comedy *Der Teufel als Hydraulicus, D. 4* (The Devil as Engineer), a composition remarkable enough as the work of a boy of

fourteen or fifteen, and scored for an orchestra of flutes, clarinet, bassoon, horns and strings, while the former includes both oboes, trumpets and timpani.

Overture in D major, D. 12

Overture in D major, D. 26

The same years brought two concert overtures, the *Overture in D major, D. 12*, and the *Overture in D major, D. 26*, both of which include three trombones. The second work is dated 26th June 1812 and was later revised by the composer. The first of the two starts with an ominously dramatic introduction, before proceeding to the customary *Allegro*, an effective demonstration of Schubert's growing maturity. Both suggest ambitions beyond the confines of the Stadtkonvikt orchestra or, indeed, of the amateur resources otherwise available, while reflecting the influence of what he would have heard in the theatre where in 1813 he attended a performance of Gluck's *Iphigenie auf Tauris* with Milder in the title-rôle and Vogl as Orestes, which moved him to tears and, according to Josef von Spaun, set him studying any score of Gluck that he could find.

Des Teufels Lustschloß (The Devil's Pleasure Castle), D. 84

Between 30th October 1813 and 15th May 1814 Schubert tackled another Singspiel by Kotzebue, *Des Teufels Lustschloß, D. 84* (The Devil's Pleasure Castle), revised later in the latter year and presumably written under the guidance of Salieri, with whom Schubert had been taking lessons since 1812 and was to continue to do until 1816. The *Overture*, scored again with trombones, leads straight into the action which deals with the love of the impoverished knight Oswald for his wife Luitgarde, put to the proof by her rich uncle, a plot that has something in common with Mozart's *Die Zauberflöte* in its testing of virtue and the magic of the enchanted castle.

Der vierjährige Posten (The Four-Year Sentry Duty), D. 190

In May 1815 Schubert returned to the theatre with a version of the one-act Singspiel *Die vierjährige Posten, D. 190* (The Four-Year Sentry Duty) by Theodor Körner, a young poet who had accompanied Spaun and Schubert to Gluck's *Iphigenie auf Tauris*, and shared their enthusiasm. Körner, house-dramatist at the Burgtheater, joined Lützow's Free Corps and was killed in August 1813 in a battle at Gadebusch near Mecklenburg. In Körner's comedy a French soldier, Duval, is left on sentry duty in a German village, when his regiment withdraws. He settles down, falling in love with the daughter of a local judge, but when, after four years, his regiment returns, he is in danger of being shot as a deserter. He avoids this fate by donning his old uniform and seeming to continue his sentry duty, claiming that he must be properly relieved before anything else. A kindly general eventually pardons him and all ends happily. The *Overture* opens in idyllic pastoral tranquillity, leading to a lively *Allegro* that also finds a place for a military element.

Claudine von Villa Bella (Claudine from Villa Bella), D. 239

In July 1815 Schubert completed his music for Goethe's three-act Singspiel *Claudine von Villa Bella, D. 239*. The music for the second and third acts was burnt by the servants of Josef Hüttenbrenner in his absence from Vienna in 1848, a fate that also befell the score of the second act of *Des Teufels Lustschloß*. Published by Goethe in 1776, *Claudine von Villa Bella* centres on the attraction that Claudine, the betrothed of Pedro, finds for the unreliable Crugantino, who turns out to be Pedro's brother, allowing Claudine and Pedro to be happily re-united. The *Overture* gently sets the romantic scene, before launching into a vigorous *Allegro*.

Die Freunde von Salamanka (The Friends from Salamanca), D. 326

The same year brought music for his school-friend Albert Stadler's play *Fernando* and in November and December Schubert composed music for Mayrhofer's two-act *Die Freunde von Salamanka, D. 326* (The Friends from Salamanca). Don Alonso, with the help of his friends Fidelio and Diego, plans to rescue Countess Olivia from an attack staged by the two accomplices, thus proving his heroism and merit as Olivia's lover, while thwarting the designs of Count Tormes, who hopes to win the hand of Olivia, whom he has never met. The plot is successful and means are found to pair off Fidelio and Diego with other girls, while Count Tormes alone is left disappointed. The plot is derivative, with heroine and gull suggesting Shakespeare's Olivia and Malvolio from *Twelfth Night*. The *Overture* opens with an effective sonata-form movement, aptly setting the scene.

Overture in B flat major, D. 470

The *Overture in B flat major, D. 470* has been dated to September 1816 and suggested as the possible overture of the *Cantata in Honour of Josef Spendou, D. 472*. Canon Spendou was Chief Inspector of Elementary Schools and in charge of the fund for teachers' widows. The *Overture*, perhaps originally for string quartet, is scored without flutes and clarinets, its opening *Adagio maestoso* leading to an energetic *Allegro*, a fitting introduction, whether to a comedy or a serenade.

Overture in D major, D. 556

Schubert wrote his *Overture in D major, D. 556* in May 1817. It is scored for woodwind, horns, timpani and strings, but, unusually, without trumpets, the usual companions of drums. It opens with an *Allegro maestoso* leading to an *Andante sostenuto*, which returns after the *Allegro vivace* which provides the substance of the work that has the necessary theatrical quality to serve as the introduction to a play.

Overture in the Italian style in D major, D. 590

Overture in the Italian style in C major, D. 591

The *Overture in D major in the Italian style, D. 590* was written in November 1817, with its companion *Overture in C major in the Italian style, D. 591*, the descriptive titles known in Schubert's time but not to be attributed to him. One of the overtures, perhaps the first of the pair, had a public performance in March 1818 and was welcomed by critics, with praise for the work's 'youthful fire'. Both reflect the influence of Rossini, whose operas increasingly fascinated the Viennese public. 1816 had brought performances in Vienna of *L'inganno felice* and *Tancredi*, followed in 1817 by *L'italiana in Algeri*, and the fashion was to continue into the following decade, exciting the jealous opposition of composers writing in the German classical tradition. The first of the two new overtures starts with an *Adagio* that leads, after the opening chords, to an Italianate theme. The strings introduce the principal theme of the *Allegro giusto*, which, in its course, seems to make direct reference to Rossini. The second overture takes on an increasingly Italian air, particularly with the *Allegro* and its contrasting themes. Schubert arranged both overtures for piano duet, and the *Overture in C major* for two pianos, eight hands, to be performed in this version in March 1818 in a private concert.

Rosamunde, D. 644, from Die Zauberharfe (The Magic Harp)

Schubert's music for Georg von Hofmann's play *Die Zauberharfe* (The Magic Harp) was written in the summer of 1820 and the spectacular melodrama was briefly staged at the Theater an der Wien in August, when it excited bad reviews and only mixed praise for Schubert's contribution. The *Overture*, however, is much better known as the *Overture to Rosamunde, D. 644*, substituted by Schubert for his

original borrowing from his music for *Alfonso und Estrella*. In modified sonata form, the overture has an *Andante* introduction, followed by a *Vivace* in apt popular style.

Die Zwillingsbrüder (The Twin Brothers), D. 647

From the same year, in which Schubert had also tackled Mayrhofer's *Adrast* and Johann Philipp Neumann's *Sakuntala*, Hofmann's Singspiel *Die Zwillingsbrüder, D. 647* (The Twin Brothers) had its staging in June at the Kärntnertor-Theater, with music commissioned from Schubert in 1819. Based on the French *Les deux Valentins*, the piece seemed to offer a good rôle to Vogl, who played the parts of the brothers, but won no success, although the overture and other contributions by Schubert have great charm.

Overture in E minor, D. 648

Schubert wrote his *Overture in E minor, D. 648* in February 1819 and it had its first public performance at the Redoutensaal in November 1821. The work is scored for the usual woodwind, four horns, two trumpets, three trombones, timpani and strings, and marks an important stage in Schubert's orchestral writing in its dramatic handling of these instrumental forces.

Rosamunde, D. 732, from *Alfonso und Estrella*

1821 also brought a collaboration between Schubert and his friend Schober in a grand romantic opera, *Alfonso und Estrella*, the subject of their attention during a summer holiday that took them to St Pölten and the countryside at Ochsenburg. With hopes for a production, Schubert continued work on the opera, completing the music in February 1822, but it had to wait for many years before it had a performance, given in Weimar in 1854 by Liszt, who revered Schubert but was well aware of the defects of the work. The overture, however, served initially as an *Overture to Rosamunde, D. 732*, later to be replaced by the overture to *Die Zauberharfe. Rosamunde, Fürstin von Zypern* (Rosamunde, Princess of Cyprus), staged at the Theater an der Wien in 1823, was the work of the blue-stocking Helmina von Chézy, who had provided the complex libretto of *Euryanthe* for Weber, with equal lack of success.

Die Verschworenen – Der häusliche Krieg (The Conspirators – Domestic Warfare), D. 787

The Singspiel *Die Verschworenen (Der häusliche Krieg), D. 787* (The Conspirators / Domestic Warfare) was the work of Ignaz Franz Castelli, who had published it as a challenge to composers. Schubert wrote his music for the work in April 1823, but no performance proved possible and it was first heard in 1861. His setting had, in any case, been anticipated elsewhere. Based on the *Lysistrata* of Aristophanes transposed to a medieval Crusading context, the work elicited music that contains a military element, suited to its subject.

Fierabras, D. 796

The same year saw the setting by Schubert of *Fierabras* by Josef Kupelwieser, brother of his friend, the painter Leopold Kupelwieser, and at the time secretary of the Kärntnertor-Theater. The three-act opera is set in the time of Charlemagne, a pseudo-historical romance in which the noble Moorish knight Fierabras is eventually enlisted in the ranks of the Emperor's Paladins, to his apparent satisfaction. Parts of the work were heard in Vienna after Schubert's death and the *Overture*, scored

for an orchestra with four horns and three trombones, provides an imposing and dramatic introduction to a work remembered, if at all, for its music rather than its text.

Keith Anderson